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MAILING LIST

The attached mailing list is a major revision of the old list. It is based upon a list of licensees dated February 1976 and is ordered on state and by zip code within the state. It is likely that errors have crept in during the editing. Please check your listing and send in corrections. An area of difficulty is multiple installations under a single license. If you know of facilities other than those listed, please let us know.

SOFTWARE EXCHANGE

As of a few days ago, there were no user submissions to the software exchange. The exchange does have a new C compiler and a new a.r.c. We hope the lack of submissions indicates that everybody is busy putting things in shape to send in.

In setting up the exchange, we are hoping that people will send in "trivial" things as well as significant things. The trivial is often the most duplicated in effort.

MANUALS

Informal discussions indicate that permission might be granted to the Users' Group to allow a single printer to reproduce the manuals and sell them to us in individual or quantity lots. In order to determine whether this is reasonable or desirable, we need an estimate of the number of copies of each of the manuals you might order. If you are interested in this collective venture please contact Lou Katz (see mailing list).

All UNIX NEWS correspondence should be addressed to:

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**BERKELEY**  
SANTARIONICA CA 94706

9 March 1976

10 March 1976

TO: LIST

FROM: John Lowry, Carl Sunshine, Steve Zucker

SUBJECT: NOTES ON WEST COAST UNIX USER'S MEETING,  
Berkeley, California, February 27-28, 1976

Professor Kalvin Ferrantz  
Physics Department  
Brooklyn College  
Brooklyn, New York 11210

Dear Professor Ferrantz:

Enclosed is the Rand report on the second West Coast UNIX User's Meeting. You may want to abbreviate this one as it includes more details than will probably be of interest to the general community.

Sincerely,



Dr. Steven Zucker  
Information Sciences Dept.

SZ:pjf

Enclosure as noted

The meeting was attended by about 35 people (half from Berkeley), and hosted by Bob Fabry (Berkeley). (See us for a complete list of participants and addresses.) First there were four major presentations on the UCLA Security Kernel, the INGRES data base system, The Harvard Hadoliffe Student Timesharing System (HSTS), and the Berkeley PDP 11/70 system (Ken Thompson). Then each attendee presented a brief summary of activity at his site. Friday evening and Saturday were devoted to discussing several general topics of interest including interprocess communication, the AKPACT software, multiple-machine UNIX systems, and UNIX development and standards. This note summarizes each of these three areas.



The object of the UCLA work is to produce a verifiably secure operating system. UCLA has implemented a security kernel in PASCAL (a PASCAL to C translator is available from UCLA) and hope to apply automatic verification to the PASCAL code. The kernel has been designed to be the minimum amount of software that can guarantee data security. The code consists of 4-5K words, including a few machine monitor (MMI). For the sake of efficiency they have decided to try instead to interface UNIX directly to the security kernel by system calls in the same fashion as NERT, the Bell Labs multi-environment real time system. They plan to run a separate stripped down version of UNIX in supervisor mode as a part of each UNIX "process" with the various UNIX communications by means of shared segments and a kernel supplied message facility. No attempt is made to guarantee confinement. The kernel is to provide protection for processes, devices, whole file systems, and segments, so the size of the protected objects is large ("the grain of protection is coarse").

In addition to the UNIX processes, there will be a scheduler process and an initiator, the former providing data to the kernel assigning execution priorities to the various processes, and the latter replacing the UNIX I/O process with code that establishes the protection environment for the user that signs on.

The main problems anticipated are:

- (1) The coordination of use of the shared segments between UNIX. One shared segment will be used for the storage of nodes, file table entries, etc. for each file system. Semaphores may be required in addition to the kernel message facility to coordinate access to these segments.
- (2) Constructing an ARPANET MCP or TCP. It is likely that some of this will have to be built into the kernel.

THREES Data Management System - Eugene Wong (Berkeley)

Eugene Wong described the Interactive Graphics and Retrieval System (THREES) that runs on a PDP 11/40 under UNIX. The current system uses four large processes that call each other sequentially. Some benefit could be obtained from better interprocess communication so that some of the processes could proceed in parallel. (see the "CC '75 for details on THREES.)

IRSTS - Harvard-Berkeley Student Time-sharing System - Chuck Freeman (formerly of Harvard, now at Berkeley)

An impressive amount of software has been developed for IRSTS. The following items were mentioned:

- 1. BASIC: two versions, one based on DEC RT11 BASIC with matrix extensions, another based on U.C. RTS BASIC (which users must first purchase from DEC).
- 2. MACRO LINDR - (version 6 compatible)
- 3. TECO editor
- 4. FILCOB - (like edit)
- 5. HANVARD SHELLS: A simplified shell for student use (hand-hold command completion as in TECO) and compiler with several interesting features:
  - (a) Page mode for several terminals
  - (b) Setable break characters
  - (c) The ability to suspend and restart output
- 7. PPL and EPL: Extensive languages Manuals can be obtained from: Center for Research in Computing Techniques 33 Oxford Street Cambridge, Massachusetts 02133

Chuck also spoke of a very good (fast) Fortran that runs under UNIX. It is a modification of DEC Fortran and it is available (after paying DEC a \$2500 fee) from: Dick Shore CULC (Commercial Union Leasing Corp.) New York

The Berkeley 11/70 System - Ken Thompson

Berkeley runs a severely core-limited system, having only 64K words of memory to support an average load of 15 users (22-24 users peak). They use four "home-brew" multi-processors, machines used for teaching assembly language programming and interrupt I/O. They have 2 R205 disks, an RJS20 swapping disk, and 2 R203 compatible DIVA disks (50 megabytes each).

As usual, Ken had a number of interesting things to say:

1) It appears that the group concept is about to disappear from UNIX, in favor of 16-bit user ids. At Berkeley, the 16-bit ids are partitioned as follows (with a major intent to segregate students from each other, and from the rest of the world):

UID=0: Super-user (no change)

UID<0: Student. No reference to other student's files, only "own" files and "public" files, those with owner UID between 1 and 255 (to which normal protections apply).

UID>0: All others. No reference to students' files. Normal access protection applies to all files.

For class use, the upper 6 bits of the UID is the class number. The teacher has a UID with the low order 6 bits 0, and can access students' files.

2. Disk file quotas: If a directory contains a file named .q (a quota), then, when the directory inode is in use, the .q inode is associated with it in the inode include table. The .q inode contains the maximum number of blocks that may be used by files in the directory and its descendants, and a count of the number of used blocks. A subdirectory inherits the quota of its ancestors. A new system call was added to make directories with quotas. There were some problems associated with the link operation. It is allowed to exceed quotas temporarily so that the link operation (rename) cannot cause quotas to be exceeded.

3. A limit was placed on the number of active processes that a user can own. (Enforced in the fork operation while searching the processor table a count is made.)

4. Ken noted a circumstance under which locks on inodes were not honored within the kernel. He went through the kernel and located a number of other places where the same potential for failure exists. The fixes will appear in the next release.

5. An interesting technique for measuring disk activity was devised. It involves keeping a software busy bit for each of n devices (major or minor) in the low order bits of a word. The word is used as an index into a "disk" table of 2<sup>n</sup> x 32 bit integers. Each time a block ("unoperated" with the scheduling clock) structure, the selected "disk" entry is incremented. As a result, the "disk" entries record the busy time for each combination of devices. By also counting the number of words transferred and the number of transactions on each device, the seek times (including latency and transfer times) can be estimated.

6. Using the technique in 5, Ken determined that for the Berkeley system it was more efficient to use the RJS01 "swapping" disk for the "root" file system (/usr, /tmp, ...)

7. A bottleneck was eliminated by allocating two swap buffer headers to avoid putting a process to sleep only to wake it up again to be swapped out.

8. Bell Labs is working on a C-oriented microprocessor. Get in touch with Sandy Fraser at Bell for information.

9. We learned several things that may improve our response.

- a. Allocate more buffers. Berkeley uses 30, and we are certainly underallocated. A measurement technique for determining buffer utilization was suggested.
- b. The terminal output high and low water marks were set for the 300 baud terminals in use at Bell. With our 9600 baud terminals we should greatly increase these parameters, and the number of character queue elements.

#### Site Activity Summaries

Steve Holmgren  
Center for Adv Comp. - Illinois.

Runs an 11/45 with 128k, RPOW, RK's, magnetic.  
Does text processing.  
Developed MCP (currently has no server).  
Also doing work on local networking.

Mike O'Halley - Berkeley

11/40 system  
Runs the Illinois HCO.  
Does real time speech processing.  
Has added contiguous files.

John Pass - Cal Poly San Luis Obispo

Runs UNIX on an 11/35 (the OEM version of the 40)  
Does graphics, data entry, 3-D display for architecture,  
and language processing.

Dave Farber - UCLA

Has a PASCAL to C translator.  
has made changes to ed (e.g., warnings, writeout on hangup).

Jeff Scribman - UCB 11/70

Currently has RPO3 type disks, DEC RUSOU and RKOS's. The  
RKOS will leave shortly.  
Machine connected to CDC 6400.  
Runs a XCB station

Harvey Weinstein - Survey Research Center, UCB

Working on computer assisted telephone interviews.

Bill Wridge - Data Disk

Runs an 11/35 with LSI-11 hardware to control terminals.  
Developing an automated newspaper production system to run  
on 11/70's with LSI-11's.

Oliver Roubine - SRI

Has developed a better C debugger.

Art Wilson - UCSB

Has a Fortran which uses 11/40 floating point from Illinois.  
Has an 8080 cross assembler  
They use the machine for various chemistry applications.

Mike Ubell - UCS

Has UT-40 debugger and Fortran editor.

John Lowry, Carl Sunshins, Steve Zucker - Rand

Runs UNIX on 11/45 with 128K, RPO4, RKOS's.  
Doing intelligent terminal research.  
Have developed a 2 dimensional editor, currently being recoded  
to use ed as a subroutine.  
Interested in improved interprocess communication -- passed out  
paper with ideas concerning how this might be accomplished.  
Has received a letter version of CRJL.  
Has file compression routines (25-40% storage savings on typical  
program and text files).  
Runs the Rand MCP (including server).

Mark Rampe - UCLA

Is rewriting lty.  
Is doing security research.  
Has PASCAL, PASCAL, and EXECID translators.  
Has a 11/40 compatible HROST written in C.  
Has some hardware, many new drivers, limited server ftp for  
the Illinois MCP.  
Is connected with coordinated distribution of UNIX software

Tova - Berkeley

Has worked on Dilks's's primitives (semaphores).  
Knows about the UT40.  
Has implemented very distinct host APPNET protocol.  
Has implemented consensus files.  
Has RKOS dump code for 845's and dump-interpreting software.  
Interested in network graphics program.

Oliver Whitley - SRI

Considering UNIX for scientific communication  
(Technical Journal Production).

Gary Raetz - Naval Postgraduate School

Working with a variety of display devices.  
Has 8080 assembler.  
Has a DDT and line editor.

Ira Fuchs - Caltech.

UNIX system  
Runs as text editor front end for 370.  
Reported that April 1 of the East Coast Meeting  
will emphasize Graphics.

#### Discussion Topics

#### Interprocess Communication (IPC):

Steve Zucker and Carl Sunshins presented the shortcomings of  
current UNIX IPC facilities. Steve outlined his port and pipe  
implementation. (The two Rand papers had been passed out the  
day before, but few attendees had had a chance to read them.) The  
was agreement from several other sites that an ability to wait for  
multiple inputs would be very desirable, and that pipes served a  
good way to do this. Cdb Farby was unhappy with the different  
interface syntaxes provided to the reader (the presence of headers)

Other suggestions to facilitate waiting for multiple inputs concentrated on the synchronization problem. Hank Kambe advocated a sleep/wakeup facility for user processes. Steve mentioned the possibility of access control, assigning unique wakeup numbers, spurious wakeups, and implementation with this. Others suggested a more specific facility to "wait" on a specified set of file descriptors and return when the first of them had data available to read. There is also the problem of testing for input (e.g., with `EMPTY`) and then using the `WAIT` with input arriving between the test and wait. This requires the standard "hyperwaker" state kind of resolution when a wakeup to a running process leaves it "hyperwaker" so a wait does not block it.

The major implementation problem in current UNIX for both of these suggestions is the difficulty of associating an inode with those processes (readers) "waiting" when a writer writes the inode. The idea of asynchronous, independent I/O channel type operation came up, but this was fairly generally denounced as a tremendous change and undesirable anyway.

Ken Thompson agreed that the current signal facility had a number of problems (but noted that interrupts did not abort pending disk I/O since the process would be sleeping at a negative priority). Signals had been designed primarily for error or exception condition handling, and not for general interprocess synchronization. Which Ken was not convinced was a very significant need. By the end of the session he seemed more disposed toward accepting improved IPC as a legitimate concern, and even willing to consider system changes or accretions to `wait`, etc.

#### AMPHERET

Steve Holmgren outlined the AMPHERET software design at the University of Illinois. The connection establishment (ICP) code for the MCP has been largely placed in a user process, requiring only 3-4k words (plus buffers) for the remainder of the MCP in the kernel. There is a separate minor device for each network host, and the open command to these network devices defaults to give a `Teahat` connection. By specifying different options, programs can also get particular sockets, `LIGHT` mode, or other special notions from the ICP. The server `Teahat` is still being developed, but will probably need interesting characters through the TV drivers.

#### Multiple-Machine UNIX:

Ken Thompson outlined the dual machine UNIX system he developed at Bell Labs last year using a DRII-C. The basic communication level provides 256 logical channels between two machines. Each data byte is prefixed with a channel number on transmission, and acknowledged by the channel number being received. For more efficient and reliable communication over low bandwidth or long delay lines, the characters on a channel may be buffered into a message with the channel number appearing only once in the header and a checksum for error detection.

Connections are established between machines by sending control data over channel zero which essentially associates files with channel numbers in each machine. A daemon process which always has a read pending handles the initial connections.

At the user level, commands are interpreted by a `Master Shell` syntax which indicates an operation to be performed on the remote machine. Thus `cat file > lfile` would copy remote file `file` to local file `lfile`. The `Master Shell` creates a slave shell process in the remote machine, and all other local and remote processes needed, and sets up all the connections between the local and remote processes.

#### UNIX Development and Standards:

Because of the consent degree and other intricacies of the UNIX license, Bell is not able or willing to "support" UNIX in the traditional sense of standard releases, updates, fixes to discover bugs, documentation, etc. There has been talk of AT&T support for UNIX maintenance and standards project, but this is still uncertain.

There is a strong feeling that sites are going to continue do their own development in different and sometimes even conflicting directions. There is also a strong frustration with efforts to use each others improvements which often seem to depend on other aspects of the system which are incompatible. A "standard" version of UNIX for reference purposes could make it easier to describe where changes had been made when sites trade software. The news may provide this service by sticking a version number on some recent UNIX system and distributing it. But sites currently have widely differing versions of the system (version 5 to version 8) so picking the initial standard is problematic.

Conditional compilation exists (undocumented) in version 6 (plus?) of C, which may help the situation if people "if out" changed standard code rather than waiting it, and "if in" their changes.

Ken Thompson suggested the way a new version of UNIX could be developed. The system is constantly changing and changes become numerous enough, it is agreed that the "standard" version of UNIX is becoming obsolete.

to reflect the new facilities. In the process of rewriting the manual, everyone remembers all the other things they wanted to do to a particular area of code, and an organizing "frenzy" of activity ensues for a couple of weeks. Finally the manual gets closed and filed one day, after which activity tapers off (but doesn't cease) because people realize the new changes won't be known until the next annual rewrite.

# THE UNIVERSITY OF NEW SOUTH WALES



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PLEASE QUOTE

10th October 1975

Professor H. Perantz,  
Physics Department,  
Brooklyn College,  
City University of New York,  
Brooklyn,  
New York, N.Y. 11210.,  
U.S.A.

Dear Professor Perantz,

We have only recently seen copies of your first two UNIX Newsletters and hence have not been in a position to contribute earlier. However we do appreciate your effort in starting the Newsletter and hope it will be successful. A description of our experiences with UNIX at the University of New South Wales is given below, which you may find suitable for inclusion, in whole or in part in the Newsletter.

Part of the delay in our receipt of the Newsletters has been administrative at this end. The official licensee is the U.N.S.W. Computing Services Unit. However all usage, support and development of UNIX has been undertaken by the Department of Computer Science.

For administrative purposes it has now been agreed that the U.N.S.W. official contact should be the undersigned, namely

Dr. John Lyons  
Department of Computer Science  
School of Electrical Engineering  
University of New South Wales  
KENSINGTON, N.S.W. 2033,  
AUSTRALIA.

and I would be grateful if you would send your records accordingly.

## Hardware

As mentioned below, UNIX is used regularly here at three sites, and is also used at other sites within the University on occasions. The basic hardware configuration we have consists of

- PDP-11/40 processor
- Extended Instruction Set
- Floating Point Instruction Set
- Memory Management
- 64k words of memory
- Will line frequency clock (in this part of the world 50 cycles)

Various peripherals are attached to different machines and I assume the exact disposition is of little interest. The peripherals include

RK05 disk  
 TU10 tapes  
 TAI1 cassettes  
 PCL1 paper tape reader/punch  
 DPL1 asynchronous communications interface with 4800 baud line to central Cyber 72

DJ11 16 port terminal multiplexor  
 DLI1 terminal interface  
 Veratrac LV11 dot matrix printer  
 CDC 9122 line printer  
 CDC 9152 line printer  
 CR-11 Card reader  
 CDC 9233 card reader  
 (line printer and card reader interfaces are locally produced to conform to DEC standards)

Interactive Terminals include  
 DEC VT05  
 DEC LA35  
 DEC GT09  
 Hartridge 2009  
 Transdata VDU (local product; implements APL character set)  
 Lear-Siegler VTE5

Software  
 We are willing to distribute software which we have developed locally so far, though some of it has been formally packaged yet. I assume that you will have discussed some of the problems of software distribution at your recent meeting in New York. For the time being we will be more than happy to answer direct enquiries from other users.

Software we have developed includes:  
 device handlers for  
 card reader  
 DJ-11 multiplexor  
 TA-11 cassette  
 DMA access to PDP-11/10  
 CDC User 200 terminal emulator  
 Local Batch Processing Subsystem  
 Various "security" patches  
 Core dump analyzer for batch processing

Questions

(a) We have experienced some difficulty in making effective use of floating point on the 11-40. We haven't really pursued this problem too intensively as yet. Do any other users have "fixes" for this?

(b) Where did the name "UNIX" come from?

UNIX at UNSW

At the University of New South Wales, there are currently three sites using UNIX (two in the School of Electrical Engineering and one in the Faculty of Commerce). We are in the (monthly manual) position that the University has

central Cyber 72 computer, but also with a view to providing a local processing capability. The intention was to use RSX-11D but our initial experiences with this were far from favourable. (To be fair we understood that some of the problems have been cured in later releases.) The only site still firmly committed to RSX-11D is the School of Mathematics which is using a proprietary Fortran package, and even that we hope, may be converted to run under UNIX soon.

The situation when we received our copy of UNIX was that the Electrical Engineering batch station which is the most heavily loaded in the university was performing rather badly under RSX-11D and a locally written emulator for the CDC U-100 batch station. Rated performance levels were not being obtained, and severe congestion was being experienced. The only way we could get PDP-11 time to run UNIX was to make it the basis of the whole batch station operation. Accordingly a group of postgraduate students led by Ian Johnson embarked upon a crash program to develop the initial software (including device drivers for the DJ-11 multiplexor and the card reader) to provide the U-100 emulator. An initial version of the emulator was in use within less than two weeks, and with subsequent rapid development, the UNIX emulator soon outstripped the RSX-11D emulator in all respects. The takeover of the batch station by UNIX was thus achieved via a bloodless coup (though it has upset the planning projections of some managers in the university hierarchy).

The ultimate constraint on our PDP-11's performance as a load station is the 4800 baud line which connects it to the Cyber. (The U-200 signalling protocol doesn't help much here either). With only a limited number of interactive terminals to connect to the PDP-11 (without going into details, the number was usually 3 or 4) we still had some excess capacity. A decision was taken that all teaching of assembly language programming should be via the UNIX assembler under batch processing. A local batch processing subsystem has been written for this purpose (with control cards beginning with a "B"), and this is now being developed to provide a range of facilities. (Local and remote batch jobs may be freely mixed via a single card reader).

At present, our PDP/11-40 with RK05 discs is starting to run out of "puff". On our best day to date the machine handled

- 450 remote batch jobs (limited to 30 pieces of output)
- 240 remote batch jobs (limited to 30 seconds real time)
- 60,000 cards read
- 4,500 pages printed

while handling a large, unaccounted number of terminal jobs. With local batch running, the printer has become a frequent bottleneck, but we hope to trade up from a 400 lpm to a 600 lpm printer shortly.

This year a phenomenon has reappeared which has been notably absent on our campus for several years: a band of "computer's", mainly second year undergraduate students. Having penetrated the Kronos security system with relative ease, they turned their attention to UNIX, with a great deal less success. Finally security was breached via an unguarded password, and the system rapidly deteriorated under the influence of "improvements" produced by the enthusiastic but uncoordinated helpers. Finally the whistle was blown, the students who are expected to guard their privileges jealously, the security breaches have been experienced. However we do have some program patches which may be of interest to other security conscious users.



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Head of Department:  
Professor G. R. NICOLL, B.Sc., M.A., M.I.E.E.

PJO'C/CB  
13th November 1975

Prof. Melvin Ferentz,  
Physics Department,  
Brooklyn College of CUNY,  
Brooklyn,  
N.Y. 11210,  
United States.

Dear Prof. Ferentz,  
My department has recently received a copy of UNIX Version 6 and is presently considering whether to make it our standard operating system. There are, however, several points we would like to clear up and I would be grateful if you could pass them on to someone who might be able to help. They are as follows:

We are anxious to run BCPL under UNIX in the interests of preserving already-written software. Has anyone done this?  
We want to drive a Vector General display device, which has no display buffer of its own. Some of our researchers are also interested in sampled-data control systems and require real-time control of analogue devices. Both these applications would seem to require that tasks be locked in care for the whole period of their activation. Is there any way to do this by some simple change to the scheduler or must we run such tasks on a satellite computer?

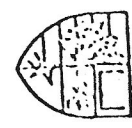
Some of these points have already been passed to the User's Group newsletter (Issue No. 1) but without any feedback so far. We would appreciate an expert opinion.

Our configuration is: PDP 11/45 with 40K words (ultimately 56 or 64K), no floating-point, 3 RK05 disks, some DI-11's, two DC-11's, Vector General graphics display, tektronix 4013 and, eventually, a line-printer (probably LA 180)

Yours sincerely,

*R. O'Callaghan*

Patrick J. O'Callaghan.





HARVARD SCIENCE CENTER  
HARVARD UNIVERSITY  
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Office of the Director  
1 Oxford Street  
017 495-2027

January 28, 1976

Prof. Mel Foreritz  
Department of Physics  
Brooklyn College of CUNY  
Brooklyn, New York 11210

Dear Mel:

This letter is to confirm that the UNIX Users' Meeting will be held at the Science Center, 1 Oxford Street, Cambridge, Mass. in lecture Hall D on the first floor on Thursday and Friday, April 1 and 2, 1976. Enclosed is a map and a sketch of the first floor of the Science Center showing the hall location.

For people flying to Boston, the quickest route to Harvard Square is to take the airport bus to the airport subway station, take a Blue Line train to Government Center, change to the Green Line and go to Park Street, then change to the Red Line to Harvard Square. Time: 20 to 30 minutes. The alternative is to take a cab at a cost of about \$7.00.

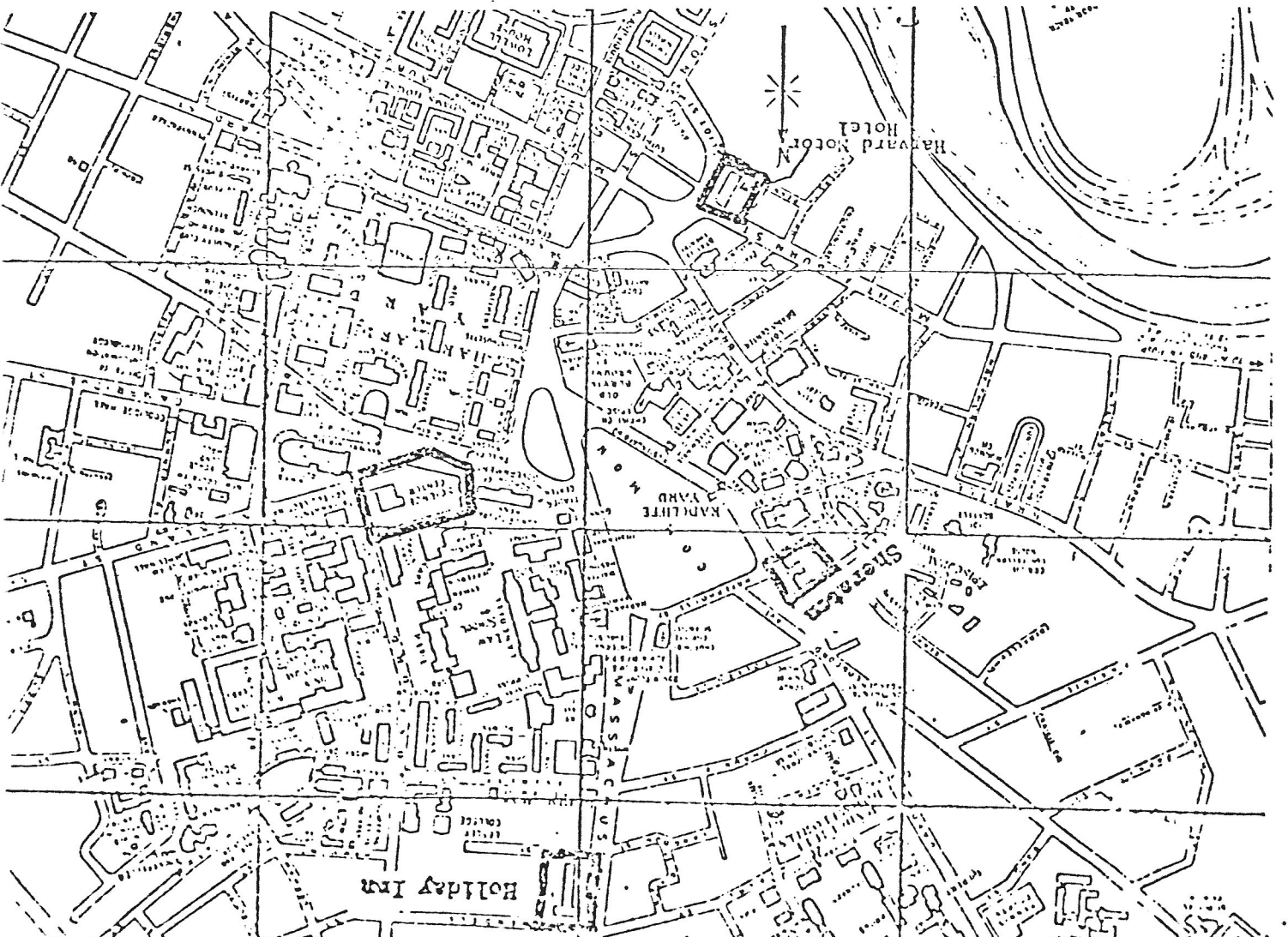
Accommodations: Sheraton Commander Hotel, 16 Garden St., Cambridge 617-547-4800  
Holiday Inn, 1651 Mass. Ave., Cambridge 617-491-1000  
Harvard Motor Hotel, 110 Mt. Auburn St., Cambridge 617-864-5200

All of these are within easy walking distance of the Center.  
Feel free to call me if you want any other information.

Sincerely,

  
Lewis A. Law  
Director of Technical Services

Encl.  
LALinda



## 6.105 CORRECTION

The line on page 3 bottom which reads  
/JMP/i  
should read  
/cli/e

The complete edited text follows:

```
/ pipe -- C library

/      pipe(f)
/      int f[2];

.slabl _pipe, center

pipe = 42.

_pipe:
    mov     r5, -(sp)
    mov     sp, r5
    shw    pipe
    ocd    if
    jmp     center

if
    mov     r2, -(sp)           /*% fix
    mov     r(r5), r2
    mov     r0, (r2)+
    mov     r1, (r2)
    clr    r0
    mov     (sp)+, r2         /*% fix
    mov     (sp)+, r5
    rts    pc
```